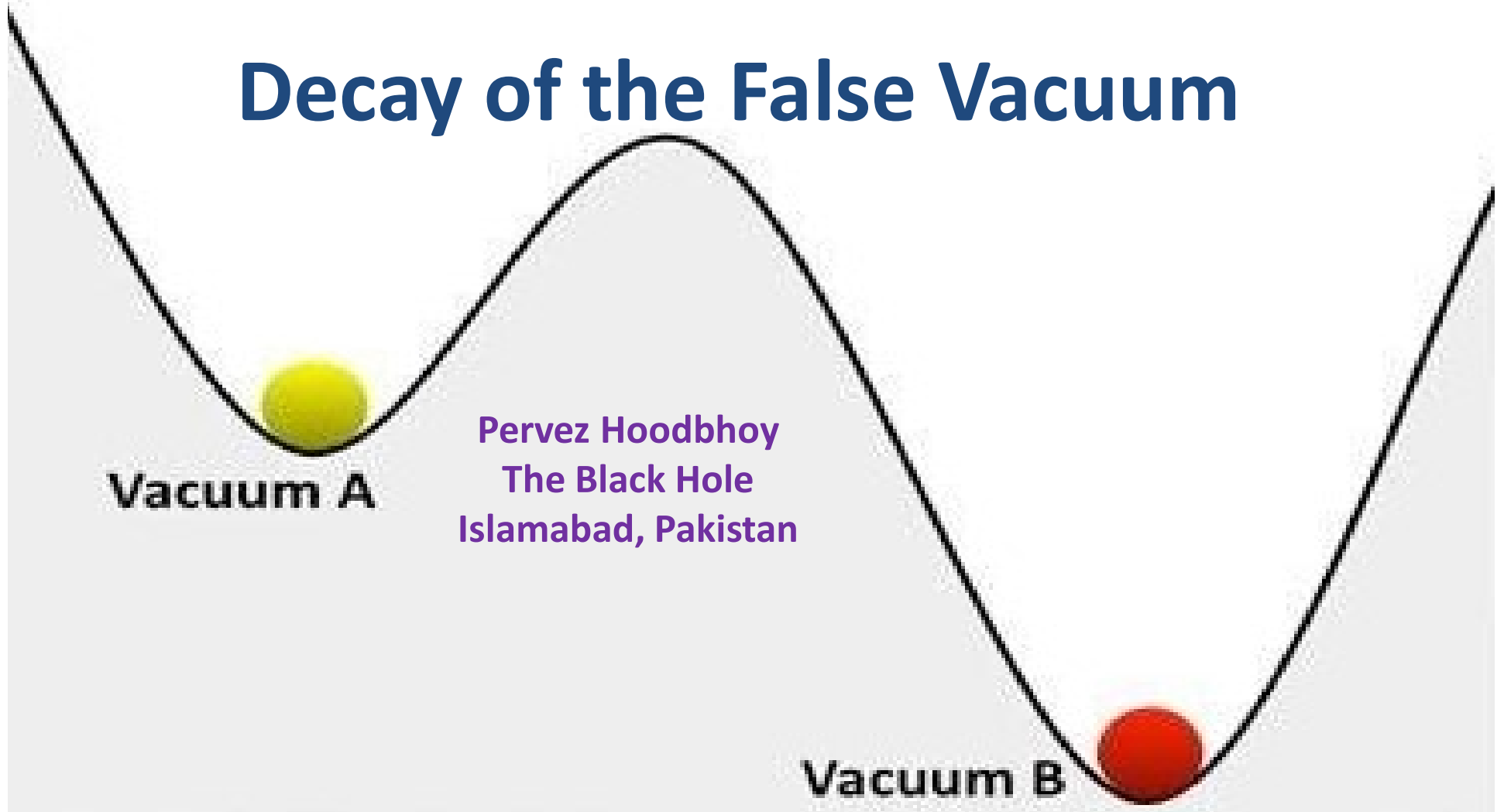


Decay of the False Vacuum



Course Outline

Instantons in
particle QM

- Intro to path integral ✓
- Imaginary time ✓
- Instantons in a symmetric double well ✓
- Decay of metastable states ✓
- The functional determinant ✓

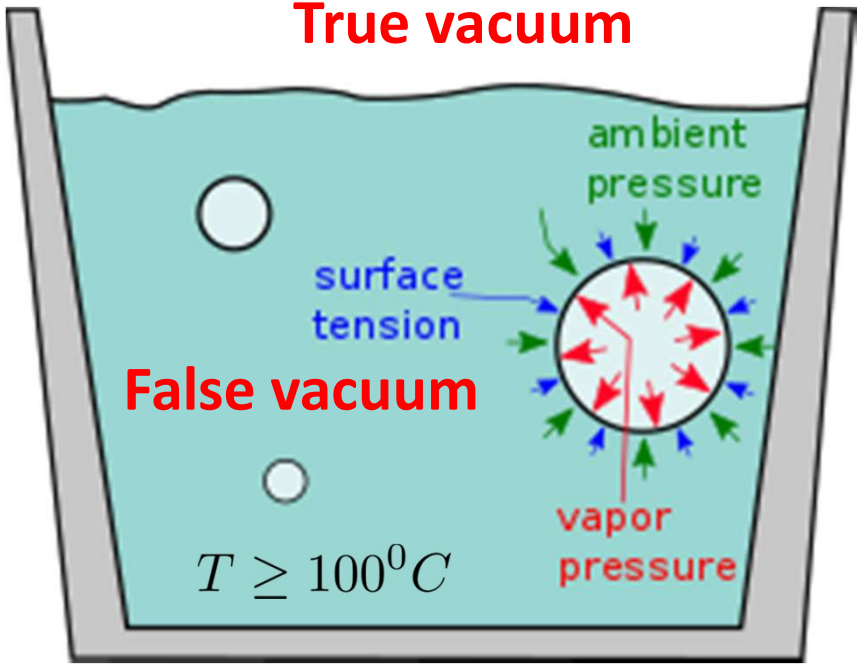
Tunneling of
quantum fields

- Basic QFT for a scalar field ✗
- Tunneling of field configurations ✗
- The $O(4)$ instanton ✗
- How/when will the universe end? ✗
- Gauge fields and tunneling
- Effective action

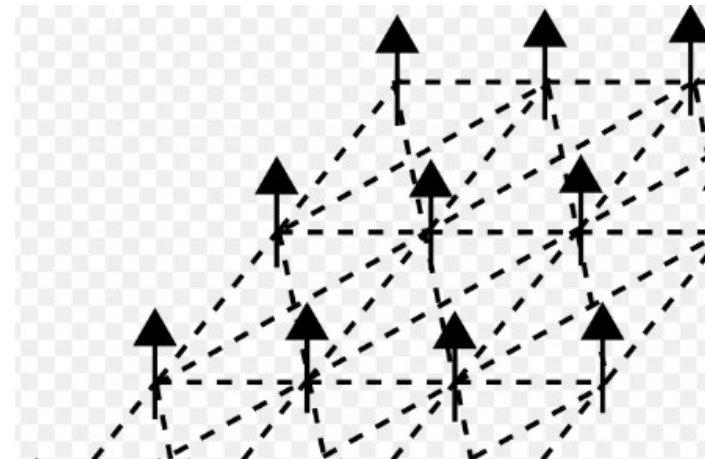
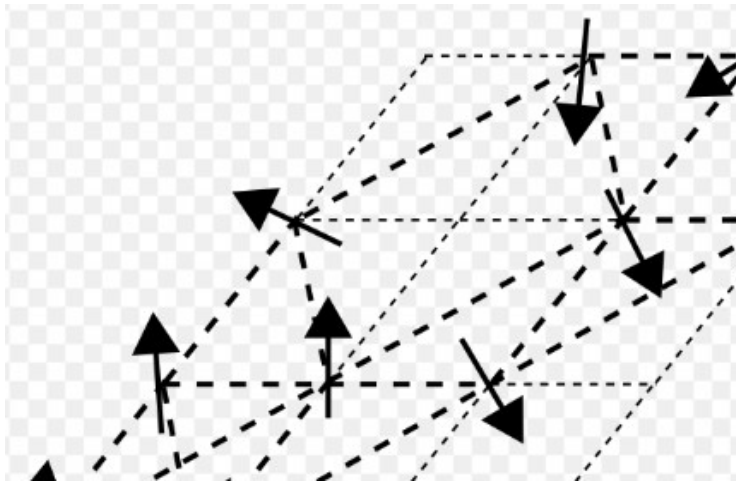
Tunneling in QFT

Much richer now that we have an infinite number of degrees of freedom.

- Spin glasses
- Stability of electroweak vacuum
- Multiple vacua in QCD
- Superfluid ^3He
- Chemical reactions
- . . .

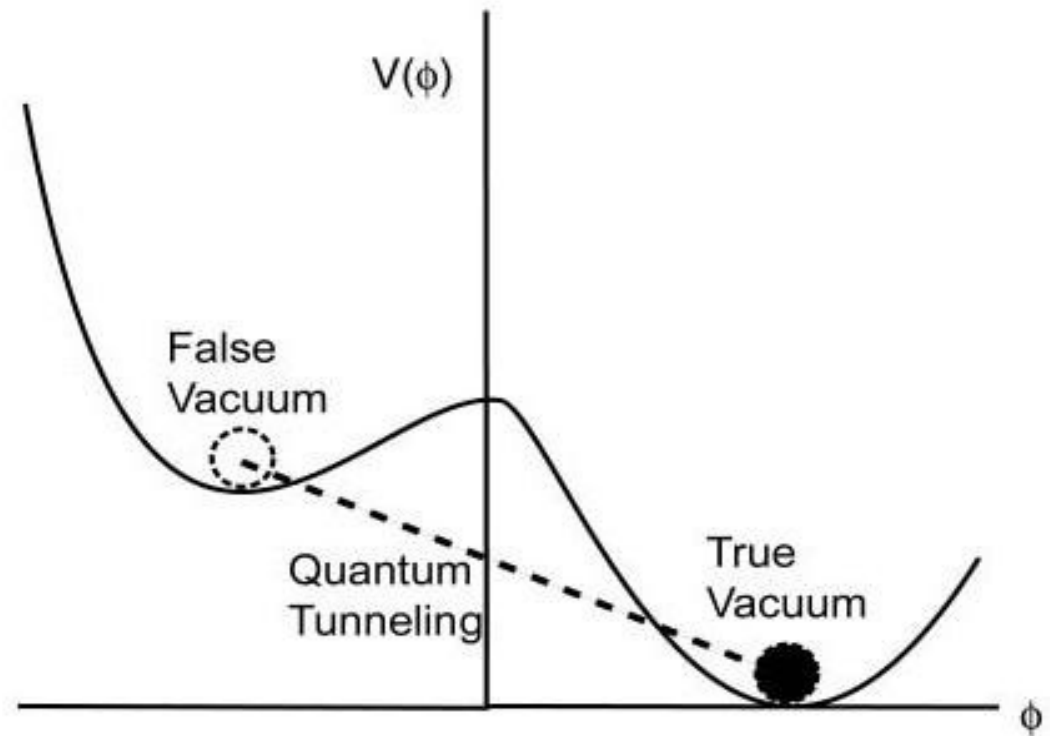


Spin glasses: instanton mediated transition



Higgs field and the early universe

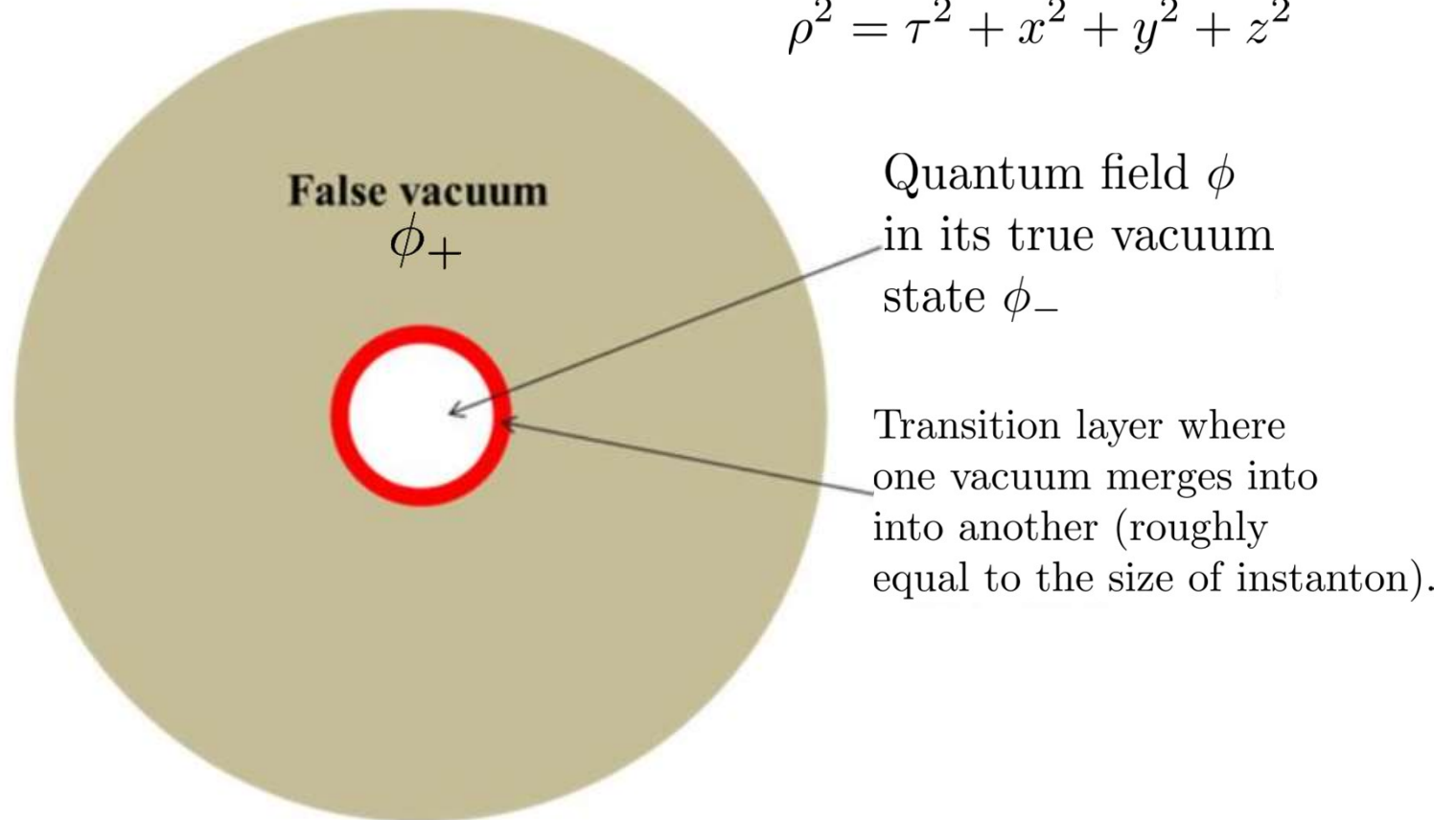
- The Higgs field $\phi(x)$ pervades all space
- The Higgs field $\phi(x)$ has charge under the weak force
- Since $\langle \phi \rangle \neq 0$ the vacuum also has weak charge
- The Higgs field $\phi(x)$ has a potential $V(\phi)$



Anticipating the O(4) Instanton

$O(4)$ symmetry is invariance under rotations in 4 dimensions, i.e. $\phi = \phi(\rho)$

$$\rho^2 = \tau^2 + x^2 + y^2 + z^2$$

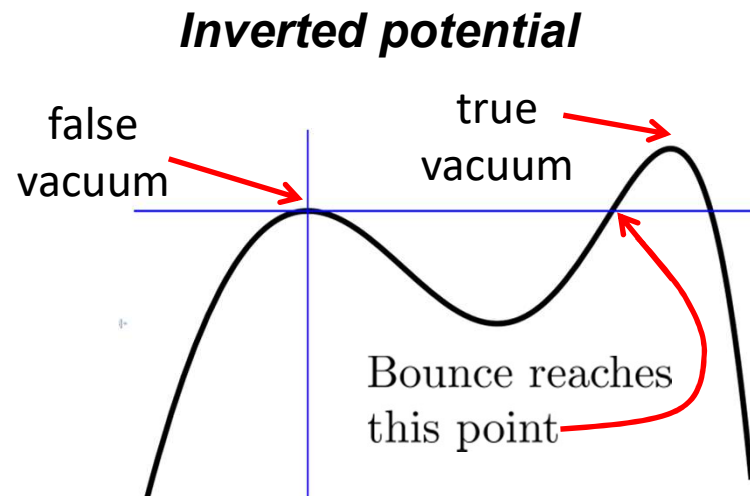
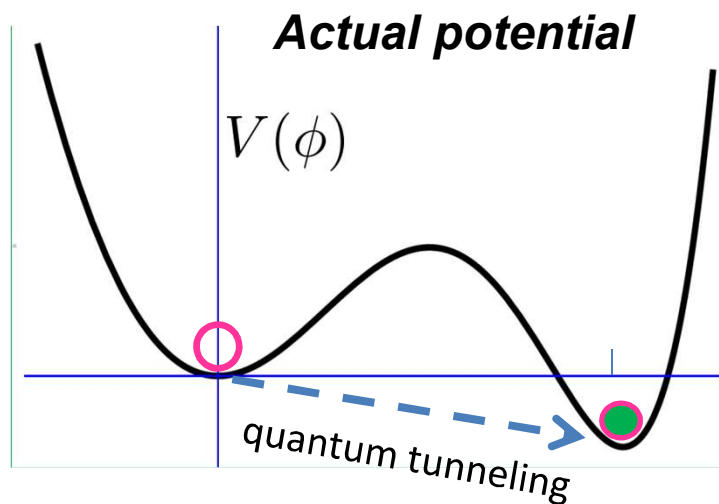


Tunnelling via bouncing instantons – peek at the final result

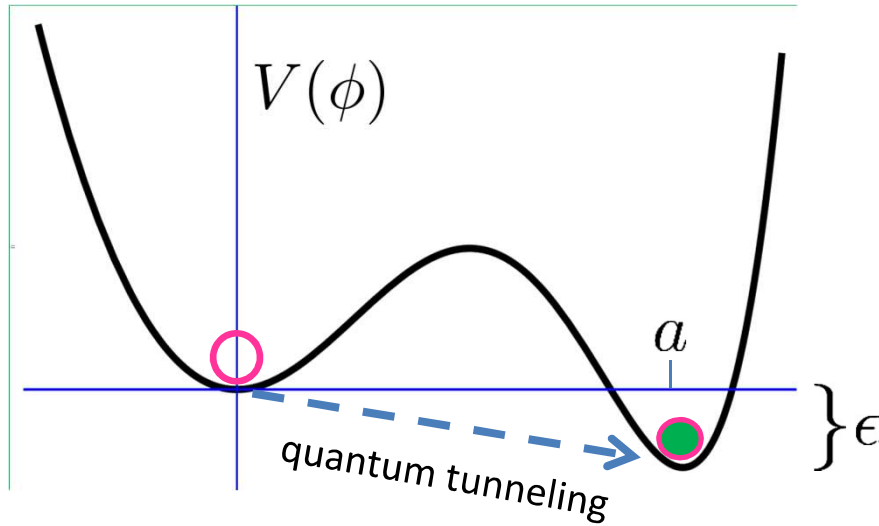
$\Gamma = A e^{-B}$ is the probability of tunnelling out of the unstable vacuum per unit time per unit volume of space.

$B = \frac{S[\phi_B]}{\hbar} = \frac{1}{\hbar} \int d^4x V(\phi_B)$ is the bounce action

From this we see that the false vacuum cannot tunnel into a spatially homogeneous true vacuum. The only possibility is through nucleation, i.e. bubble formation.



Thin wall approximation



An explicit solution for Γ is possible provided ϵ , i.e. the difference in energy per unit volume of space between the true and false vacuum, is small.

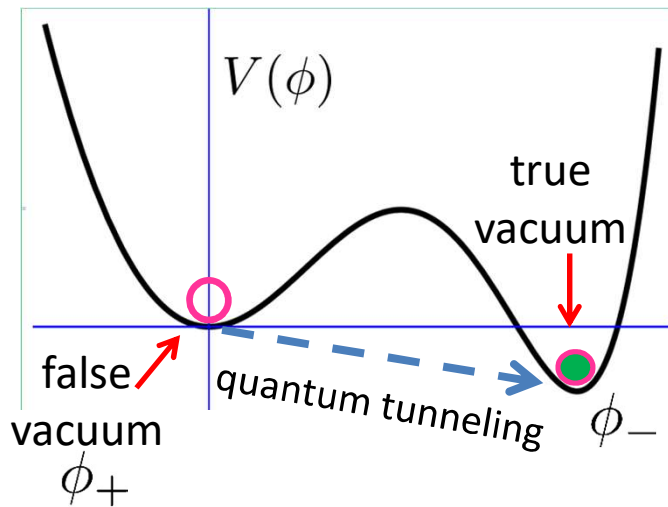
$$\Gamma = A e^{-B}$$

$$B = \frac{27\pi^2 S_1^4}{2\epsilon^3} \quad S_1 = \int_0^a d\phi \sqrt{2V(\phi)}$$

Basics of relativistic QFT in imaginary time

$$\begin{array}{lll}
 x^\mu = (t, \vec{x}) & \mathcal{L} = \frac{1}{2} \left(\frac{\partial \phi}{\partial t} \right)^2 - \frac{1}{2} (\nabla \phi)^2 - V(\phi) & S = \int d^4x \mathcal{L} \\
 \phi = \phi(t, \vec{x}) & \text{is the Lagrangian density} & \text{is the action} \\
 \text{is the field} & &
 \end{array}$$

Imaginary time: let, $t \rightarrow -i\tau$. $\mathcal{L} \rightarrow \mathcal{L}_E = -\frac{1}{2} \left(\frac{\partial \phi}{\partial \tau} \right)^2 - \frac{1}{2} (\nabla \phi)^2 - V(\phi)$



$$d^4x_E = d\tau d^3x \quad S_E[\phi] = \int d^4x_E \mathcal{L}_E$$

$$\mathcal{A}_{i \rightarrow f} = \int_{\phi_+}^{\phi_-} [D\phi(x)] \exp\left(-\frac{1}{\hbar} S_E[\phi(x)]\right)$$

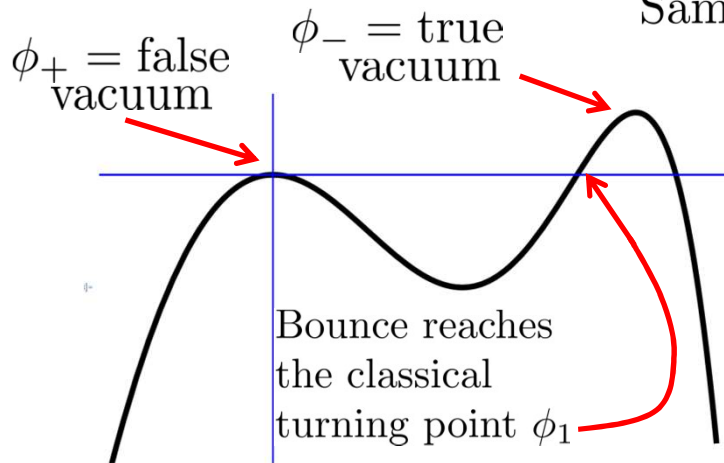
This integration is over all possible paths connecting ϕ_+ to ϕ_- at each spacetime point x . Henceforth suppress “Euclidean”.

Expand the action $S[\phi]$ to second order in a Taylor series:

$$S[\phi + \delta\phi] = S[\phi] + \int d\phi(x') \frac{\delta S[\phi(x)]}{\delta\phi(x')} \delta\phi(x') + \frac{1}{2} \int d\phi(x') d\phi(x'') \frac{\delta^2 S[\phi(x)]}{\delta\phi(x') \delta\phi(x'')} \delta\phi(x') \delta\phi(x'') + \dots$$

$$\frac{\delta S[\phi(x)]}{\delta\phi(x')} = 0 \quad \Rightarrow \quad \left(\frac{\partial^2}{\partial\tau^2} + \nabla^2 \right) \phi_b = V'(\phi_b) \quad \phi_b(\tau, \vec{x}) \text{ is the bounce (classical) path.}$$

Same as: $\partial_\mu \partial_\mu \phi_b = V'(\phi_b)$



Boundary conditions for bounce solutions:

$$\phi_b\left(\vec{x}, -\frac{T}{2}\right) = \phi_b\left(\vec{x}, \frac{T}{2}\right) = \phi_+ \text{ as } T \rightarrow \infty$$

$$\left. \frac{d\phi_b(\vec{x}, \tau)}{d\tau} \right|_{\tau=0} = 0 \quad \text{bounce velocity is zero at the classical turning point}$$

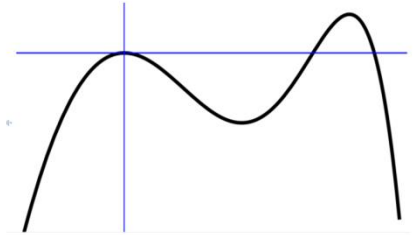
$$\phi_b(|\vec{x}|, \tau) = \phi_+ \quad \text{as } |\vec{x}| \rightarrow \infty$$

The O(4) Instanton - Introduction

Bounce solutions needed for EOM, $\left(\frac{\partial^2}{\partial\tau^2} + \nabla^2\right)\phi_b = V'(\phi_b)$ or, $\partial_\mu\partial_\mu\phi_b = V'(\phi_b)$

BC's are: $\lim_{\tau \rightarrow \pm\infty} \phi_b(\tau, \vec{x}) = \phi_F$, $\left.\frac{\partial\phi_b}{\partial\tau}\right|_{\tau=0} = 0$, $\lim_{|\vec{x}| \rightarrow \pm\infty} \phi_b(\tau, \vec{x}) = 0$

Laplacian in 4-D: $\frac{\partial^2}{\partial\rho^2} + \frac{3}{\rho}\frac{\partial}{\partial\rho} + \dots$ where, $\rho^2 = \tau^2 + \vec{x}^2$



EOM simplifies to this with above BC's $\frac{d^2\phi_b}{d\rho^2} + \frac{3}{\rho}\frac{d\phi_b}{d\rho} = V'(\phi_b)$ gives $\phi_b(\rho) = \phi_b(\sqrt{\tau^2 + \vec{x}^2})$

$$S[\phi] = \int d^4x \mathcal{L} = 2\pi^2 \int_0^\infty \rho^3 d\rho \mathcal{L} = 2\pi^2 \int_0^\infty \rho^3 d\rho \left[\frac{1}{2} \left(\frac{\partial\phi_b}{\partial\tau} \right)^2 + \frac{1}{2} (\nabla\phi_b)^2 + V(\phi_b) \right]$$

$$= 2\pi^2 \int_0^\infty \rho^3 d\rho \left[\frac{1}{2} \left(\frac{\partial\phi_b}{\partial\rho} \right)^2 + V(\phi_b) \right]$$

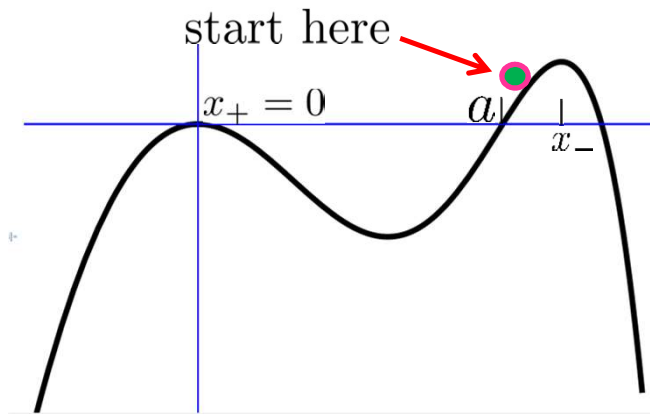
Must impose BC: $\left.\frac{d\phi_b}{d\rho}\right|_{\rho=0} = 0$

to avoid EOM disaster.

The O(4) Instanton – informal proof of existence

Does $\frac{d^2 \phi_b}{d\rho^2} + \frac{3}{\rho} \frac{d\phi_b}{d\rho} = V'(\phi_b)$ with $\lim_{\rho \rightarrow \infty} \phi_b(\rho) = \phi_+$, $\left. \frac{\partial \phi_b}{\partial \rho} \right|_{\rho=0} = 0$ have a solution?

To see why it does, rewrite this as: $\ddot{x} + \frac{3}{t} \dot{x} = V'(x(t))$ with BC's: $\dot{x}(0) = 0$, $x(\infty) = 0$.



- If start is too close to x_- you overshoot
- If start too far from x_- you undershoot
- In between lies the right starting value!

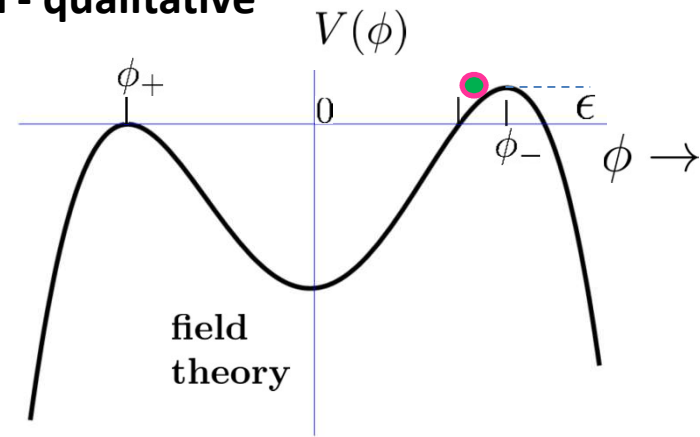
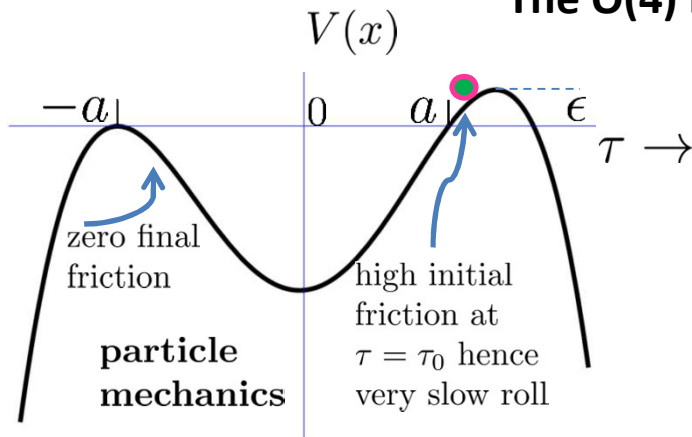
Note: $\frac{d}{dt} \left[\frac{1}{2} \dot{x}^2 - V(x) \right] = -\frac{3}{t} \dot{x}^2 \quad \therefore \text{frictional energy loss}$

Suppose the ball is initially ($t = 0$) at $x(0) > a$ and passes a at some finite time t_0 .

$$\begin{aligned} \text{Energy loss} &= \int_{t_0}^{t_f} dt \frac{3}{t} \left| \frac{dx}{dt} \right| < \frac{3}{t_0} \int_{t_0}^{t_f} dt \left| \frac{dx}{dt} \right| \\ &\approx \frac{3}{t_0} \int_{t_0}^{t_f} dt \left| \frac{dx}{dt} \right| \approx \frac{3a}{t_0} \end{aligned}$$

So friction can be neglected for large t_0 .

The O(4) Instanton - qualitative



$$V(x) = V_S(x) + \frac{\epsilon}{2a}(x - a)$$

$$V_S(x) = V_S(-x), V_S'(\pm a) = 0, V_S''(\pm a) = \omega^2$$

$$\text{Example of } V_S : V_S(x) = \frac{\omega^2}{8a^2}(x^2 - a^2)^2$$

$$V(\phi) = V_S(\phi) + \frac{\epsilon}{2a}(\phi - a)$$

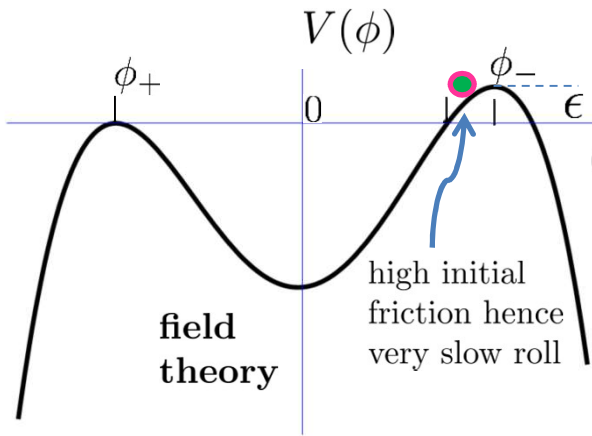
$$V_S(\phi) = V_S(-\phi), V_S'(\pm a) = 0, V_S''(\pm a) = \omega^2$$

$$\text{Example of } V_S : V_S(\phi) = \frac{\omega^2}{8a^2}(\phi^2 - a^2)^2$$

Very similar! What exactly separates classical particle mechanics from classical field theory?

- $\phi = \phi(t, \vec{x}) \rightarrow \phi(\tau, \vec{x}) \rightarrow \phi(\rho)$. Now we have an infinite number of degrees of freedom.
- The gradient term $|\nabla\phi|^2$ gives us an extra kinetic energy density. Hence an infinite amount of energy is needed to change ϕ everywhere because we must integrate over all spatial \vec{x} .

The O(4) Instanton - quantitative



To zero'th order in ϵ , $\frac{d^2\phi}{d\rho^2} = V'_S(\phi)$ is the EOM.

$$\phi \rightarrow \therefore \frac{1}{2} \left(\frac{d\phi}{d\rho} \right)^2 - V_S(\phi) = 0 \quad \rightarrow \quad d\rho = \frac{d\phi}{\sqrt{2|V_S(\phi)|}}$$

$$\rho = \int \frac{d\phi}{\sqrt{2|V_S(\phi)|}}$$

Expand $V_S(\phi)$ about $\phi = 0$,
 $V_S(\phi) = 0 + 0 + \frac{1}{2}\omega^2\phi^2$

$$\therefore \rho = \pm \frac{1}{\omega} \int \frac{d\phi}{\phi} = \pm \frac{1}{\omega} \log \phi(\tau) \quad \therefore \phi \sim e^{-\omega\rho}, e^{\omega\rho}$$

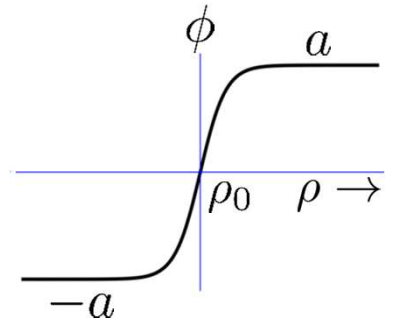
Now remember time translation invariance of EOM says we can send $\rho \rightarrow \rho - \rho_0$

For $V_S(x) = \frac{\omega^2}{8a^2}(x^2 - a^2)^2$

Solution of $\frac{d^2\phi}{d\rho^2} = V'_S(\phi)$ is,

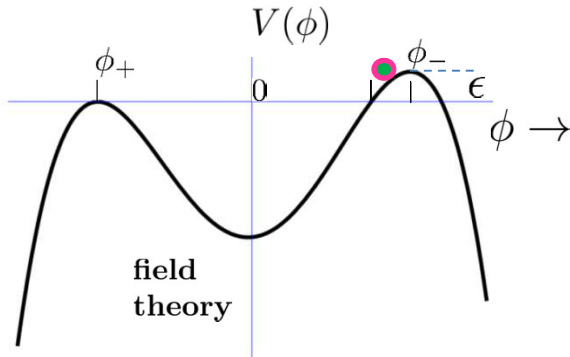
$$\phi(\rho) = a \tanh\left(\frac{\omega\rho}{2}\right)$$

$$\phi_b(\rho) = \begin{cases} a(1 - 2e^{-\omega(\rho-\rho_0)}), & \rho \gg \rho_0 \\ a \tanh\left(\frac{\omega(\rho-\rho_0)}{2}\right), & \rho \approx \rho_0 \\ -a(1 - 2e^{-\omega(\rho_0-\rho)}), & \rho_0 \gg \rho \end{cases}$$



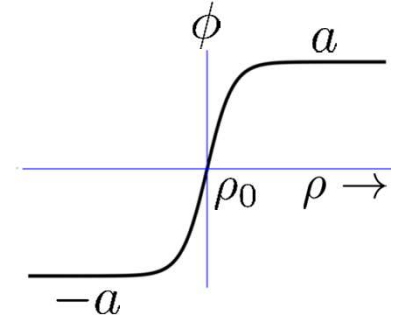
The location of the instanton ρ_0 appears arbitrary. Is it?

The O(4) Instanton – deciding the location



$$V(\phi) = V_S(\phi) + \frac{\epsilon}{2a}(\phi - a)$$

$$\phi_b(\rho) = \begin{cases} a, & \rho \gg \rho_0 \\ a \tanh\left(\frac{\omega(\rho - \rho_0)}{2}\right), & \rho \approx \rho_0 \\ -a, & \rho \ll \rho_0 \end{cases}$$



$$\begin{aligned} S[\phi] &= 2\pi^2 \int_0^\infty \rho^3 d\rho \left[\frac{1}{2} \left(\frac{\partial \phi_b}{\partial \rho} \right)^2 + V_S(\phi_b) + \frac{\epsilon}{2a}(\phi_b - a) \right] = \int_0^{\rho_0 - \delta} d\rho + \int_{\rho_0 - \delta}^{\rho_0 + \delta} d\rho + \int_{\rho_0 + \delta}^\infty d\rho \\ &= 2\pi^2 \int_0^{\rho_0 - \delta} \rho^3 d\rho (-\epsilon) + 2\pi^2 \rho_0^3 \int_{\rho_0 - \delta}^{\rho_0 + \delta} d\rho \mathcal{L} + 2\pi^2 \int_{\rho_0 + \delta}^\infty \rho^3 d\rho (0) \\ &= -\frac{1}{2}\pi^2 \rho_0^4 \epsilon + 2\pi^2 \rho_0^3 S_1 \quad \text{where, } S_1 \approx \int_{-\infty}^{+\infty} d\rho \left[\frac{1}{2} \left(\frac{\partial \phi_b}{\partial \rho} \right)^2 + V_S(\phi_b) \right] = \int_{-a}^{+a} d\phi \sqrt{2V_S(\phi)} \end{aligned}$$

$$\text{Minimize wrt } \rho_0 : 0 = \frac{dS}{d\rho_0} = -2\pi^2 \rho_0^3 \epsilon + 6\pi^2 \rho_0^2 S_1$$

$$\rightarrow \rho_0 = 3 \frac{S_1}{\epsilon} \quad \text{and} \quad S = \frac{27\pi^2 S_1^4}{2\epsilon^3}$$

Instanton energetics

Consider an observer who is moving with the wall. At time of formation there no KE and bubble radius is $r = \rho_0$. The energy per unit area of the bubble is,

$$\mathcal{E} = \frac{1}{4\pi\rho_0^2} \int d^3x \left(\frac{1}{2} |\nabla\phi_b|^2 + V_S(\phi_b) \right) \approx \frac{1}{4\pi\rho_0^2} \int_{r \approx \rho_0} 4\pi r^2 dr \left(\frac{1}{2} |\nabla\phi_b|^2 + V_S(\phi_b) \right)$$

$$\approx \int_{-\infty}^{+\infty} dr \left(\frac{1}{2} |\nabla\phi_b|^2 + V_S(\phi_b) \right) = S_1$$

So the single instanton action and the instanton energy are equal at $t = \tau = 0$.

How fast does the wall move?

Recall: constant = $\rho_0^2 = -c^2 t^2 + r^2$

Energy transforms to a moving frame as,

$$\mathcal{E} \rightarrow \gamma \mathcal{E}, \quad \gamma = \frac{1}{\sqrt{1 - \frac{v^2}{c^2}}} \quad \text{Now need } v:$$

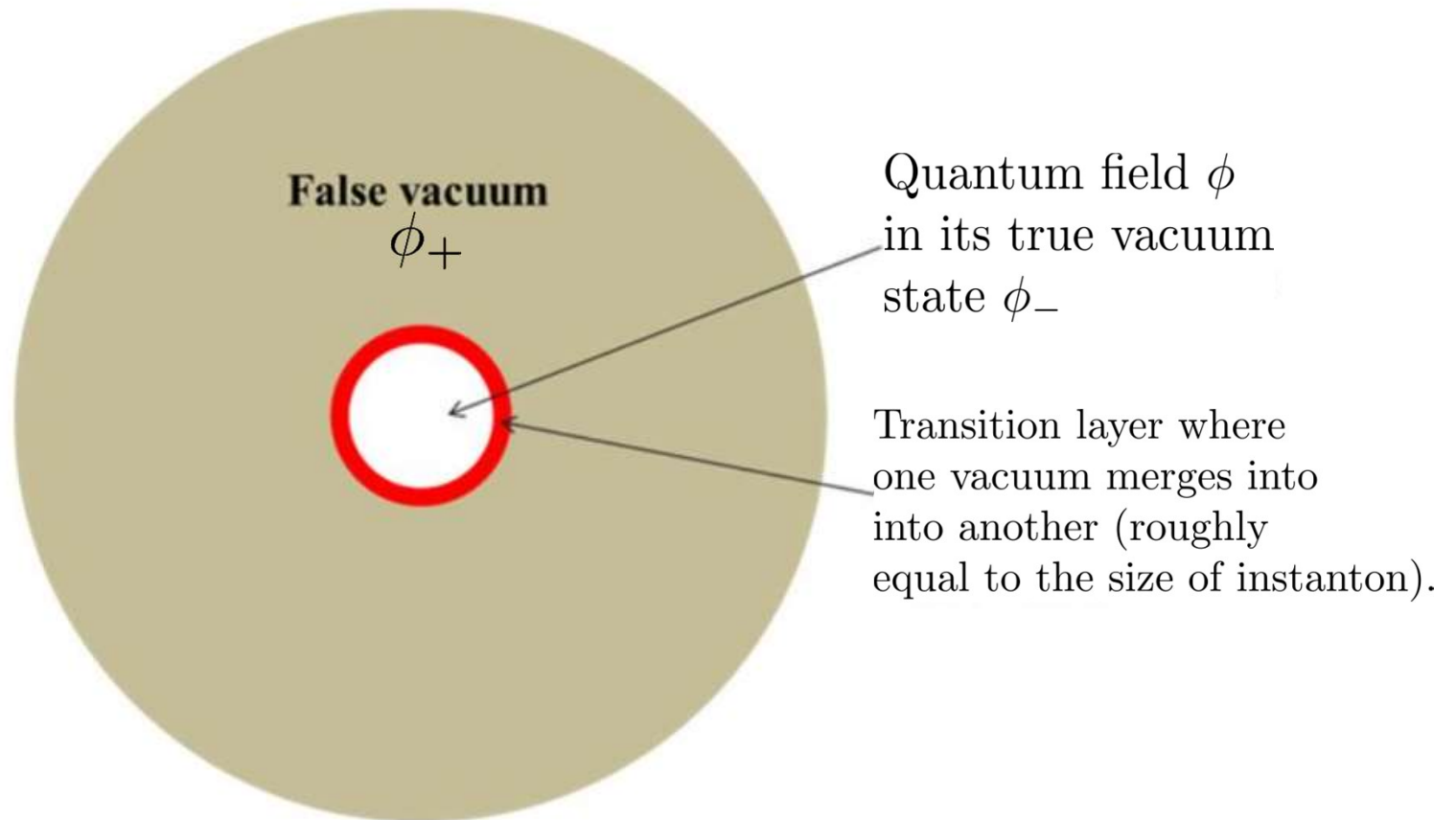
$$v = \frac{dr}{dt} = c^2 \frac{t}{r} = c \frac{ct}{\sqrt{\rho_0^2 + c^2 t^2}}$$

$$\text{From this, } \gamma = \frac{1}{\sqrt{1 - \frac{v^2}{c^2}}} = \frac{r}{\rho_0}$$

$$\therefore \mathcal{E} = \frac{r}{\rho_0} 4\pi r^2 S_1 = \frac{4}{3} \pi r^3 \frac{3S_1}{\rho_0} = \frac{4}{3} \pi r^3 \epsilon$$

- The bubble is expanding at speed $\approx c$
- There's only true vacuum inside
- All energy from false vacuum goes into the wall

Once the false to true transition happens everything will be over, so bye-bye!



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