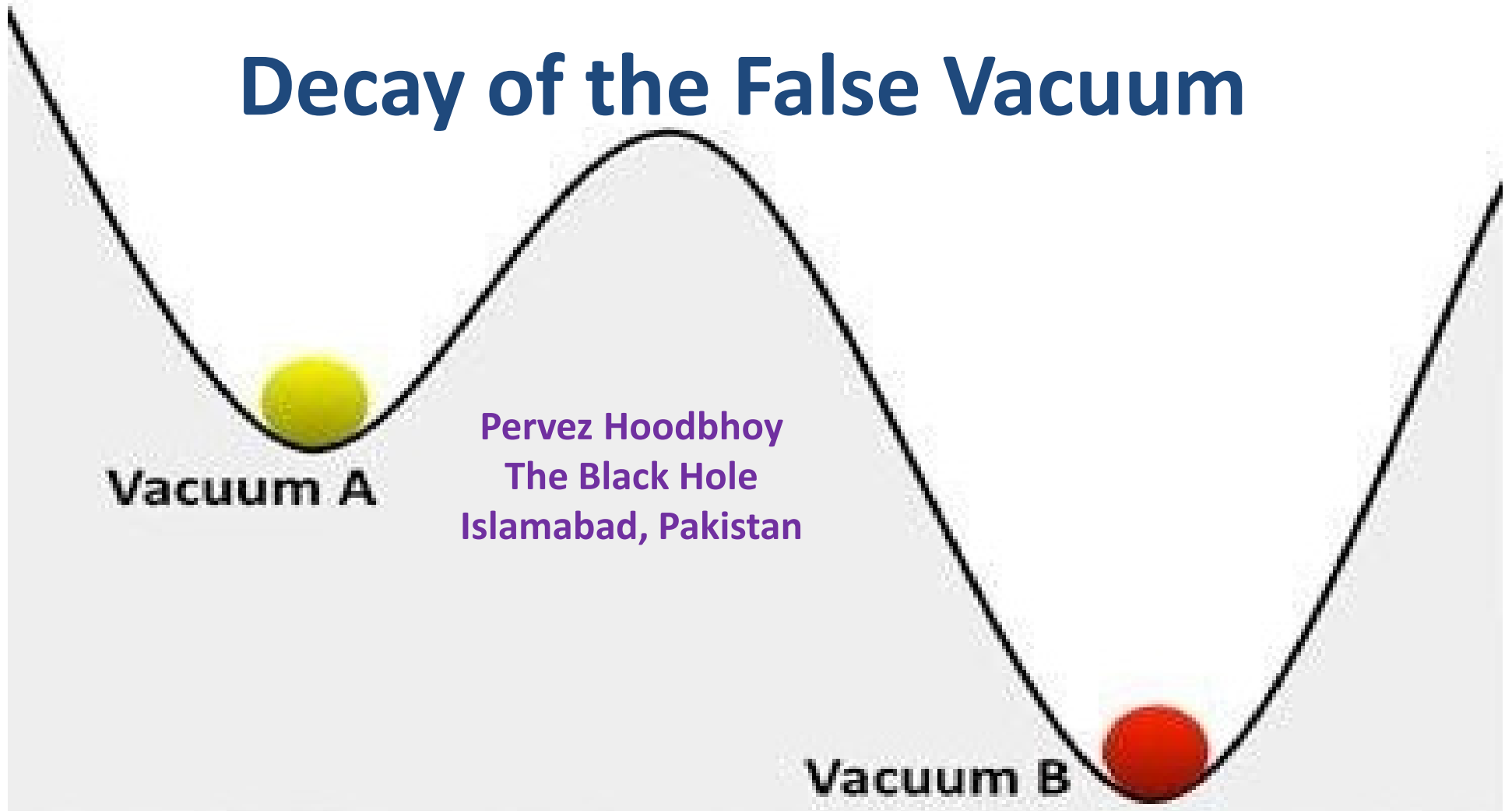


Decay of the False Vacuum



Course Outline

Instantons in
particle QM

- Intro to path integral ✓
- Imaginary time ✓
- Instantons in a symmetric double well ✓
- Decay of metastable states ✓
- The functional determinant ✓

Tunneling of
quantum fields

- Basic QFT for a scalar field ✓
- Tunneling of field configurations ✓
- The $O(4)$ instanton ✓
- How/when will the universe end? ✓
- Gauge fields and multiple vacua ✗
- Effective action

Instantons in Yang Mills gauge theories

Definition: An instanton is a configuration of a Yang-Mills field that solves the equation of motion and has finite action.

Review of differential forms

$A = A_{\mu} dx^{\mu}$ is called a **one-form**.

Under $x \rightarrow x'$, A doesn't change:

$$A = A_{\mu} dx^{\mu} = A_{\mu} \frac{dx^{\mu}}{dx'^{\nu}} dx'^{\nu} = A'_{\mu} dx'^{\mu}$$

$A = \frac{1}{2!} A_{\mu\nu} dx^{\mu} \wedge dx^{\nu}$ is called a **two-form**.

Antisymmetry under multiplication: $dx^{\mu} \wedge dx^{\nu} = -dx^{\nu} \wedge dx^{\mu}$

Under $x \rightarrow x'$, A also doesn't change:

$$A = \frac{1}{2!} A_{\mu\nu} dx^{\mu} \wedge dx^{\nu} = \frac{1}{2!} A'_{\mu\nu} dx'^{\mu} \wedge dx'^{\nu}$$

Review of differential forms

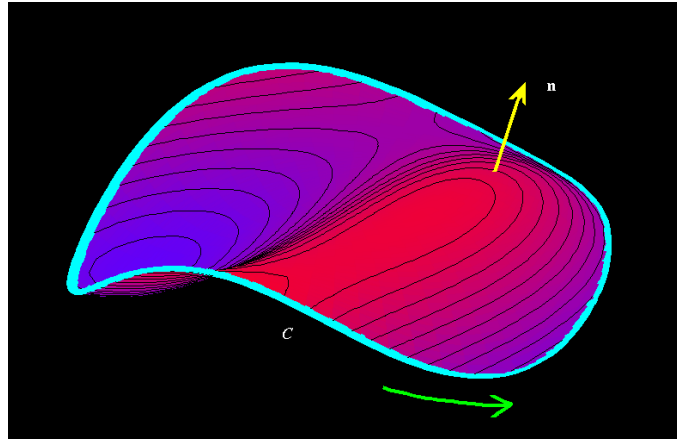
Define d (called the exterior derivative).

$$dA = \frac{1}{2!} \partial_\rho A_{\mu\nu} dx^\mu \wedge dx^\nu \wedge dx^\rho \quad \text{makes a 3-form if } A \text{ is a 2-form}$$

$$ddA = 0 \quad \Rightarrow \quad d^2 = 0$$

Poincare Lemma: if $dH = 0$ then $H = dK$ locally.

Integration: $\int_M dA = \int_{\partial M} A$ A is a $(d-1)$ form



Constructing the 2-form for F

GOAL: Construct a two-form F that mirrors the $F_{\mu\nu}$ we already know from electromagnetism. We have in hand a one-form $A \equiv A_\mu dx^\mu$. How to make F ?

Recall: $U = U(x)$ with $U^\dagger(x)U(x) = 1$ and $D_\mu\phi(x) = \partial_\mu\phi(x) - iA_\mu(x)\phi(x)$

Note: U is a zero-form so $dU = \partial_\mu U dx^\mu$ is a one-form.

Note: $d(U^\dagger U) = d(1) = 0 \rightarrow (dU^\dagger)U + U^\dagger dU = 0$

- Under $\phi(x) \rightarrow U\phi(x)$ we want $D_\mu\phi \rightarrow UD_\mu\phi$ and $(D^\mu\phi)^\dagger \rightarrow (D^\mu\phi^\dagger)U^\dagger$ so that, $(D_\mu\phi)^\dagger D^\mu\phi \rightarrow D_\mu\phi^\dagger U^\dagger U D^\mu\phi = D_\mu\phi^\dagger D^\mu\phi$
- For this to happen A_μ must transform as $A_\mu \rightarrow UA_\mu U^\dagger + iU\partial_\mu U^\dagger$. In the language of differential forms, $A_\mu dx^\mu \rightarrow UA_\mu U^\dagger dx^\mu + iU\partial_\mu U^\dagger dx^\mu$.

So, $A \rightarrow UAU^\dagger + U dU^\dagger$.

Convenient notation: hide the i by putting $-iA_\mu \equiv A_\mu$. This gives a nice, clean formula for the covariant derivative: $D_\mu = \partial_\mu + A_\mu$ or $D = d + A$.

Constructing the two-form F

Making F from $A \equiv A_\mu dx^\mu$. Next step?

First guess: make all the two-forms you can construct from A and add together.

- a) Look at $A^2 \equiv AA$: Under $A \rightarrow UAU^\dagger + UdU^\dagger$

$$\begin{aligned} A^2 &\rightarrow (UAU^\dagger + UdU^\dagger)(UAU^\dagger + UdU^\dagger) \\ &= UA^2U^\dagger + UAdU^\dagger + UdU^\dagger UAU^\dagger + UdU^\dagger UdU^\dagger \end{aligned}$$

$$\text{Use } (dU^\dagger)U + U^\dagger dU = 0 \quad = UA^2U^\dagger + UAdU^\dagger - dUAU^\dagger - dUdU^\dagger$$

- b) Now look at dA and see how it transforms:

$$\begin{aligned} \text{Under } A \rightarrow UAU^\dagger + UdU^\dagger, \quad dA &\rightarrow d(UAU^\dagger + UdU^\dagger) \\ &= dUAU^\dagger + UdAU^\dagger + dUdU^\dagger + 0 \end{aligned}$$

- Define: $F \equiv dA + AA = dA + A \wedge A$ and see how it transforms:

$$F \rightarrow UdAU^\dagger + UAAU^\dagger = U(dA + AA)U^\dagger = UFU^\dagger \quad \checkmark$$

Some properties of F

$$F \equiv dA + AA$$

- Remember that A is a one-form whereas $A_\mu^a(x)$ is a field that has $\mu = 1, \dots, 4$ and $a = 1, \dots, n^2 - 1$. The U 's are $n \times n$ matrices of the group $SU(n)$.

- We can get back space-time indices whenever we want:

$$F = dA_\mu dx^\mu + A_\mu A_\nu dx^\mu dx^\nu = \partial_\nu A_\mu dx^\nu dx^\mu + A_\mu A_\nu dx^\mu dx^\nu \equiv \frac{1}{2} F_{\mu\nu} dx^\mu dx^\nu$$

$$F_{\mu\nu} = \partial_\mu A_\nu - \partial_\nu A_\mu + [A_\mu, A_\nu]$$

- Restoring group indices: suppose we write $A_\mu = A_\mu^a t^a$ where t^a are the $SU(n)$ generators obeying $[t^a, t^b] = f^{abc} t^c$. Then, $F_{\mu\nu}^a = \partial_\mu A_\nu^a - \partial_\nu A_\mu^a + f^{abc} A_\mu^b A_\nu^c$.
- If we choose: $A = g dg^\dagger$ where $g^\dagger g = gg^\dagger = 1$ then $F = 0$. Note: this choice of A is called a “pure gauge”.

$$\text{Proof: } F = d(g dg^\dagger) + (g dg^\dagger)(g dg^\dagger) = dg dg^\dagger - gg^\dagger dg dg^\dagger = 0$$

- In 4-d we can make an invariant from $\tilde{F}^{\mu\nu} \equiv \epsilon^{\mu\nu\rho\sigma} F_{\rho\sigma}$ and $F_{\mu\nu}$ and write it as $\text{tr } F^2$. Obviously $d \text{tr } F^2 = 0$.

Instantons in non-Abelian gauge theories

Recall from lecture 2 we sent $t \rightarrow -i\tau$ and so KE and PE terms in the action S carry the same sign.

$$S[A] = \int d\tau \int d^3x \frac{1}{2g^2} \text{tr} F^2 = \int d^4x \frac{1}{2g^2} \text{tr} F^2$$

- Since $d^4x = r^3 dr d\Omega$ we know that for $r \rightarrow \infty$ to keep S finite we must have $F \sim \frac{1}{r^2} \rightarrow 0$ or $A \rightarrow g d g^\dagger$.

- $\text{tr} F^2$ can be rewritten as $\text{tr} F^2 = d \text{tr}(AdA + \frac{2}{3}A^3)$

Important because
we can then use
Gauss's theorem

Proof: RHS = $\text{tr} d(AdA) + \text{tr} \frac{2}{3}dA^3$

$$= \text{tr} dAdA + \text{tr} \frac{2}{3}(dAA^2 - AdA^2)$$

$$= \text{tr} dAdA + \text{tr} \frac{2}{3}(dAA^2 - AdAA + A^2dA) = \text{tr} (dAdA + 2dAA^2)$$

$$\text{LHS} = \text{tr} (dA + A^2)(dA + A^2) = \text{tr} (dAdA + A^2dA + dAA^2 + A^4)$$

But $\text{tr} A^4 = \text{tr} A^3 A = -\text{tr} AA^3 = -\text{tr} A^4 \therefore \text{tr} A^4 = 0$ $= \text{tr} (dAdA + 2dAA^2) = \text{tr} F^2$ ✓

Instantons in non-Abelian gauge theories

$$\int_{R^4} \text{tr} F^2 = \int_{R^4} d \text{tr}(AdA + \frac{2}{3}A^3) = \text{tr} \int_{S^3} (AdA + \frac{2}{3}A^3) = \text{tr} \int_{S^3} [A(F - A^2) + \frac{2}{3}A^3]$$

$$\text{Now use } F = 0 \text{ on } S^3 \text{ as } r \rightarrow \infty: \quad = -\frac{1}{3} \text{tr} \int_{S^3} A^3$$

Hence $\int_{R^4} \text{tr} F^2 = -\frac{1}{3} \text{tr} \int_{S^3} (gdg^\dagger)^3$ for any matrix g in $SU(n)$.

- $SU(2)$ example: $g = \tau \hat{1} + i\vec{x} \cdot \vec{\sigma} = \begin{pmatrix} \tau + ix_3 & x_1 + ix_2 \\ -x_1 + ix_2 & \tau - ix_3 \end{pmatrix}$ has $\det g = 1$.

For this $x_1^2 + x_2^2 + x_3^2 + \tau^2 = 1$. (The group manifold of $SU(2)$ is S_3 .) Also, note: $g^\dagger g = 1$.

- What if we take g^n instead of n ? This is also valid because $\det g^n = 1$. Different n 's correspond to different vacuum states of the same energy.
- What if we smoothly deform S_3 into an ellipsoid or something else? Answer: $\int \text{tr} F^2$ does not change. It is a topological invariant.

Issues for future discussion

- The EOM $D_\mu F_{\mu\nu} = 0$ is a highly non-linear and complicated pde. How to solve it?
- The imaginary time instanton connects the n vacuum to the $n + 1$ vacuum. How?
- Yang-Mills theory has an infinite number of vacua. The true vacuum comes from taking an infinite superposition:

$$|\theta\rangle = \sum_{\nu=-\infty}^{\infty} e^{i\nu\theta} |\nu\rangle$$

What are the implications for solving QCD?

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